

Appendix A
SWISS FINANCIAL INSTITUTION DEFENDANTS

Defendant Name	Case Name	Adv. Pro. No.	Commercial Register Extract	FBC List	FINMA Letter
Banca Arner S.A.	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635	X	X	X
Banca Arner S.A.	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03636	X	X	X
Banca Commerciale Lugano	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. Hinduja Bank (Switzerland) SA, et al.</i>	12-01185	X	X	X
Banca Unione di Credito	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN Amro Schweiz AG a/k/a ABN Amro (Switzerland) AG, et al.</i>	10-03635	X		X
Banca Unione di Credito	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN Amro Schweiz AG a/k/a ABN Amro (Switzerland) AG, et al.</i>	10-03636	X		X
Banco Santander International SA (f/k/a Banco Santander (Suisse) S.A.)	<i>Fairfield Sentry Ltd. (In Liquidation) et al v. Banco Santander (Suisse) S.A</i>	10-03509	X	X	X
Bank J. Safra Sarasin AG, f/k/a Bank Sarasin & Cie	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635	X	X	X
Bank J. Safra Sarasin AG, f/k/a Bank Sarasin & Cie	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03636	X	X	X
Bank Julius Baer & Co. Ltd.	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635	X	X	X
Bank Julius Baer & Co. Ltd.	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03636	X	X	X

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Bank Julius Baer & Co. Ltd.	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. Bank Julius Baer and Co. Ltd., Zurich, et al.</i>	11-01243	X	X	X
Bank Vontobel AG	<i>Fairfield Sentry Ltd. (In Liquidation), et al. Bank Vontobel AG</i>	11-01760	X	X	X
BankMed (Suisse) SA	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. BankMed (Suisse) S.A. f/k/a Banque de la Mediterra, et al.</i>	11-02772	X ¹	X	
Banque Baring Brothers Sturdza SA (now known as Banque Eric Sturdza SA)	<i>Fairfield Sentry Ltd. (In Liquidation) et al. v. Banque Baring Brothers Sturdza SA et al.</i>	12-01157	X	X	X
Banque Cantonale Vaudoise	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635	X	X	X
Banque Cantonale Vaudoise	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03636	X	X	X
Banque Internationale à Luxembourg (Suisse) SA f/k/a Dexia Private Bank (Switzerland) SA	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. Dexia Private Bank (Switzerland), et al.</i>	10-04091	X ²	X	
Banque Pictet & Cie SA	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635	X	X	X
Banque Pictet & Cie SA	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03636	X	X	X

¹ Extract to be submitted via supplemental declaration.

² Extract to be submitted via supplemental declaration.

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Banque Pictet & Cie SA	<i>Fairfield Sigma Ltd. (In Liquidation), et al. v. Pictet & Cie</i>	10-03764	X	X	X
Banque Piguet & Cie S.A. n/k/a Piguet Galland & Cie SA	Fairfield Sentry Ltd. (In Liquidation), et al. v. Banque Piguet & Cie S.A., et al.	10-03514	X	X	X
Banque SCS Alliance SA n/k/a Compagnie Bancaire Helvetique SA	Fairfield Sentry Ltd. (In Liquidation), et al. v. Banque SCS Alliance SA, et al.	11-01256	X	X	X
Banque SYZ SA (as successor to Royal Bank of Canada (Suisse))	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. Royal Bank of Canada (Suisse), et al.</i>	10-04087	X	X	X
Banque SYZ SA (f/k/a Banque Syz & Co. S.A.)	Fairfield Sentry Ltd. (In Liquidation) et al v. Banque Syz & Co. S.A. et al.	10-03513	X	X	X
Barclays Bank (Suisse) SA	<i>Fairfield Sentry Ltd. (In Liquidation) et al v. Barclays Bank (Suisse) SA et al.</i>	11-01259	X	X	X
BBVA SA (f/k/a BBVA (Suiza) SA, a/k/a BBVA (Suisse) SA)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635	X	X	X
BBVA SA (f/k/a BBVA (Suiza) SA, a/k/a BBVA (Suisse) SA)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03636	X	X	X

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BBVA SA (f/k/a BBVA (Suiza) SA, a/k/a BBVA (Suisse) SA) (sued as “BBVA Zurich/Shares”)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. BBVA Zurich/Shares, et al.</i>	11-01600	X	X	X
Bipielle Bank (Suisse) in Liquidation (sued as Bipielle Banke (Suisse))	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. Bipielle Banke (Suisse) et al.</i>	11-01568	x	x	x
BNP Paribas (Suisse) SA	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635	X	X	
BNP Paribas (Suisse) SA	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03636	X	X	
BNP Paribas (Suisse) SA Ex Fortis (n/k/a BNP Paribas (Suisse) SA)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635	X		
BNP Paribas (Suisse) SA Ex Fortis (n/k/a BNP Paribas (Suisse) SA)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03636	X		
BNP Paribas (Suisse) SA Private (n/k/a BNP Paribas (Suisse) SA)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635	X		
BNP Paribas (Suisse) SA Private (n/k/a BNP Paribas (Suisse) SA)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03636	X		
Bordier & Cie	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. Bordier & Cie, et al.</i>	10-03873	X	X	X

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BSI AG	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN Amro Schweiz AG a/k/a ABN Amro (Switzerland) AG, et al.</i>	10-03635	X	X	X
BSI AG	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN Amro Schweiz AG a/k/a ABN Amro (Switzerland) AG, et al.</i>	10-03636	X	X	X
BSI Ex Banca del Gottardo	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN Amro Schweiz AG a/k/a ABN Amro (Switzerland) AG, et al.</i>	10-03635	X		X
BSI Ex Banca del Gottardo	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN Amro Schweiz AG a/k/a ABN Amro (Switzerland) AG, et al.</i>	10-03636	X		X
CA Indosuez (Switzerland) SA	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. CA Indosuez (Switzerland) SA, et al.</i>	11-01244	X	X	X
CBH Compagnie Bancaire Helvetique	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635	X	X	X
CBH Compagnie Bancaire Helvetique	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03636	X	X	X
Citibank (Switzerland) AG	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. Zurich Capital Markets Company, et al.</i>	10-03634	X	X	X
Citibank (Switzerland) AG	<i>Fairfield Sigma Ltd. (In Liquidation), et al. v. Citibank (Switzerland) AG, et al.</i>	10-03640	X	X	X
Clariden Leu Limited	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635	X	X	X
Clariden Leu Limited	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03636	X	X	X

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Compagnie Bancaire Espirito Santo SA	Fairfield Sentry Ltd. (In Liquidation), et al. v. Zurich Capital Markets Company, et al.	10-03634	X	X	X
Corner Banca SA	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635	X	X	X
Corner Banca SA	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03636	X	X	X
Credit Suisse AG Zurich	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635	X	X	X
Credit Suisse AG Zurich	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03636	X	X	X
Deutsche Bank (Suisse) SA	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. Deutsche Bank (Suisse) SA Geneve, et al.</i>	10-03745	X	X	X
Deutsche Bank (Suisse) SA, Successor in Interest to Bank Sal. Oppenheim Jr. & Cie (Schweiz) AG	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. Bank Sal. Oppenheim Jr. & Cie (Schweiz) AG a/k/a Bank Sal. Oppenheim Jr. & Cie, et al.</i>	11-02440	X	X	X
Dresdner Bank Schweiz n/k/a LGT Bank (Switzerland) Ltd.	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635	X	X	X
Dresdner Bank Schweiz n/k/a LGT Bank (Switzerland) Ltd.	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03636	X	X	X
Edmond de Rothschild (Suisse) SA (sued as Sella Bank AG)	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03635	X	X	X

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Edmond de Rothschild (Suisse) SA (sued as Sella Bank AG)	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03636	X	X	X
EFG Bank a/k/a EFG Bank AG and/or EFG Bank SA	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. EFG BANK a/k/a EFG BANK AG and/or EFG BANK SA., et al.</i>	10-03625	X	X	X
EFG Bank S.A. Switzerland (n/k/a EFG Bank)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN Amro Schweiz AG a/k/a ABN Amro (Switzerland) AG, et al.</i>	10-03635	X	X	X
EFG Bank S.A. Switzerland (n/k/a EFG Bank)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN Amro Schweiz AG a/k/a ABN Amro (Switzerland) AG, et al.</i>	10-03636	X	X	X
EFG Private Bank S.A. (n/k/a EFG Bank)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. Zurich Capital Markets Company, et al.</i>	10-03634	X	X	X
Falcon Private Bank f/k/a AIG Privat Bank AG	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN Amro Schweiz AG a/k/a ABN Amro (Switzerland) AG, et al.</i>	10-03635	X	X	X
Falcon Private Bank f/k/a AIG Privat Bank AG	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN Amro Schweiz AG a/k/a ABN Amro (Switzerland) AG, et al.</i>	10-03636	X	X	X
Finter Bank Zurich (n/k/a Bank Vontobel AG)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635	X	X	X
Finter Bank Zurich (n/k/a Bank Vontobel AG)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03636	X	X	X

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FS/CBESSA a/k/a Banque Privee Espirito Santo SA f/k/a Compagnie Bancaire Espirito Santo SA	Fairfield Sentry Ltd. (In Liquidation), et al. v. FS/CBESSA, et al.	10-03756	X	X	X
FS/SG Private Banking (Lugano-Svizzera) SA a/k/a SG Private Banking (Lugano-Svizzera) SA	Fairfield Sentry Ltd. (In Liquidation), et al. v. FS/SG Private Banking (Lugano-Svizzera) SA, et al.	11-01566	X	X	X
Hapoalim (Switzerland) Ltd.	<i>Fairfield Sentry Ltd. (In Liquidation) et al. v. Bank Hapoalim (Suisse) Ltd. et al</i>	10-03510	X	X	
Hapoalim (Switzerland) Ltd.	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03635	X	X	
Hapoalim (Switzerland) Ltd.	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03636	X	X	
Hinduja Bank (Switzerland) SA f/k/a Amas Bank (Switzerland) Ltd.	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. Hinduja Bank (Switzerland) SA, et al.</i>	12-01185	X	X	X
HSBC Guyerzeller Zurich a/k/a HSBC Guyerzeller Trust Company AG n/k/a HSBC Trust Company AG	<i>Fairfield Sentry Ltd. (In Liquidation), et al.v. HSBC Guyerzeller Zurich, et al.</i>	11-01594	X	X	
HSBC Private Bank Suisse SA	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. HSBC Private Bank Suisse SA, et al.</i>	10-03633	X	X	X

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InCore Bank AG	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN Amro Schweiz AG a/k/a ABN Amro (Switzerland) AG, et al.</i>	10-03635	X	X	X
InCore Bank AG	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN Amro Schweiz AG a/k/a ABN Amro (Switzerland) AG, et al.</i>	10-03636	X	X	X
ING Bank (Suisse) SA, as predecessor to Bank Julius Baer & Co. Ltd.	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ING Bank (Suisse) SA, as predecessor to Bank Julius Baer & Co. Ltd., et al.</i>	10-03801	X	X	X
Investec Bank (Switzerland) AG	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. Investec Bank (Switzerland) AG, et al.</i>	12-01125	X	X	X
Lloyds TSB Bank plc (named as Lloyds TSB Bank Geneva); Coutts & Co. AG (f/k/a RBS Coutts Bank, Ltd.)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635	X	X	X
Lloyds TSB Bank plc (named as Lloyds TSB Bank Geneva); Coutts & Co. AG (f/k/a RBS Coutts Bank, Ltd.)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03636	X	X	X
Lombard Odier Darier Hentsch & Cie n/k/a Banque Lombard Odier & Cie S.A	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635	X	X	X

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Lombard Odier Darier Hentsch & Cie n/k/a Banque Lombard Odier & Cie S.A	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03636	X	X	X
Lombard Odier Darier Hentsch & Cie n/k/a Banque Lombard Odier & Cie S.A	Fairfield Sentry Ltd. (In Liquidation), et al. v. Lombard Odier Darier Hentsch & Cie, et al.	10-03795	X	X	X
Merrill Lynch Bank (Suisse) SA	<i>Fairfield Sentry Limited (In Liquidation), et al., v. Merrill Lynch Bank (Suisse) SA, et al.</i>	10-03788	X	X	X
Mirabaud & Cie SA (f/k/a Mirabaud & Cie a/k/a Mirabaud & Cie Banquiers Prives)	Fairfield Sentry Ltd. (In Liquidation) et al. v. Mirabaud & Cie a/k/a Mirabaud & Cie Banquiers Prives	11-01257	X	X	X
PKB Privatbank SA	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635		X	X
PKB Privatbank SA	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03636		X	X
Privatbank IHAG Zurich AG (sued as IHAG Handelsbank AG)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635		X	X
Privatbank IHAG Zurich AG (sued as IHAG Handelsbank AG)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03636		X	X

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Rahn & Bodmer Banquiers (n/k/a Rahn+Bodmer Co.)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. Rahn & Bodmer Banquiers, et al.</i>	11-01581	X	X	X
Rothchild Bank Geneve (Dublin) a/k/a Banque Privee Edmond de Rothschild SA	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03635	X	X	X
Rothchild Bank Geneve (Dublin) a/k/a Banque Privee Edmond de Rothschild SA	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03636	X	X	X
Rothschild Bank AG Zurich (Dublin) a/k/a Rothschild Bank AG	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03635	X	X	X
Rothschild Bank AG Zurich (Dublin) a/k/a Rothschild Bank AG	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03636	X	X	X
Rothschild Lugano Dublin a/k/a Banca Privata Edmond de Rothschild Lugano SA	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03635	X	X	X
Rothschild Lugano Dublin a/k/a Banca Privata Edmond de Rothschild Lugano SA	Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.	10-03636	X	X	X
Schroder & Co. Bank AG	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. Schroder & Co. Bank AG, et al.</i>	11-01249	X	X	X

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SG Private Banking (Suisse) SA n/k/a Société Générale Private Banking (Suisse) SA	Fairfield Sentry Ltd. (In Liquidation), et al. v. SG Private Banking (Suisse) SA, et al.	10-03595	X	X	X
SG Private Banking (Suisse) SA n/k/a Société Générale Private Banking (Suisse) SA	Fairfield Sentry Ltd. (In Liquidation), et al. v. SG Private Banking (Suisse) SA, et al.	10-03786	X	X	X
SIX SIS AG, f/k/a Sis SegalInterSettle AG	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. Six Sis AG/CH104026, et al.</i>	10-03869	X	X	X
Six Sis Ltd. & SIS SEEGANINTE RSETTLE	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635		X	X
Six Sis Ltd. & SIS SEEGANINTE RSETTLE	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03636	X	X	X
UBS AG (sued as UBS AG New York)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. UBS AG New York, et al.</i>	10-03780	X	X	X
UBS AG (sued as UBS AG Zurich and UBS AG New York)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635	X	X	X
UBS AG (sued as UBS AG Zurich and UBS AG New York)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03636	X	X	X
UBS AG (sued as UBS Zurich)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. UBS Fund Services (Ireland) Ltd., et al.</i>	11-01258	X	X	X

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Union Bancaire Privée, UBP SA (sued as ABN AMRO Schweiz AG a/k/a ABN AMRO (Switzerland) AG)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03635	X	X	X
Union Bancaire Privée, UBP SA (sued as ABN AMRO Schweiz AG a/k/a ABN AMRO (Switzerland) AG)	<i>Fairfield Sentry Ltd. (In Liquidation), et al. v. ABN AMRO Schweiz AG, et al.</i>	10-03636	X	X	X
Wegelin & Co.	<i>Fairfield Sentry Ltd. (In Liquidation) et al. v. HSH Nordbank Securities S.A. et al.</i>	12-01555	X	X	X